

# ROMEO Research Portal User Guide

Nova Scotia Health Authority  
Research Ethics Board

Researcher Coordinator's User Manual

# Accessing the Researcher's Portal

The Researcher's Portal is available through the Login at the following URL:

<http://nsha-iwk.researchservicesoffice.com/Romeo.Researcher/Login.aspx>



The screenshot shows the login interface for the Nova Scotia Health Authority Researcher's Portal. The header includes the organization's logo. The main form contains input fields for 'Username' and 'Password'. A 'Login' button with a right arrow is positioned to the right of the password field. At the bottom of the form, there are three buttons: 'Login', 'Register', and 'Reset Password'. The 'Register' button is highlighted with a red circle, indicating it is the recommended action for first-time users.

If you are a first time user of the Researcher's Portal, you must click the 'register' button to create an account. Your username should be your primary email address. Once you have registered, you will receive an automatic email with instructions on setting up your password.

# Researcher's Home Page

You are now in the Researcher's Home Page! To access the REB application forms, click on "APPLY NEW"



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[APPLY NEW](#) | [News](#) | [Useful Links](#)

Role: Principal Investigator

Role: Project Team Member



Researchers Should visit the "Useful Links" section every now & then for helpful tips & hints

# Select the Proper Ethics Application Form (EAF)



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File No



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## New Application Forms

All research projects being conducted in the Nova Scotia Health Authority (NSHA) and involving human participants, human biological materials (human embryos, fetuses, fetal tissue, reproductive materials, stem cells), NSHA patients, staff, resources or data are reviewed by the NSHA REB before the research begins. This applies to materials derived from living and deceased individuals.

## IWK - Certifications (Human Ethics)

Please read the descriptions below and select the appropriate ethics application form for your research submission.

Application Name	Description	Status
<a href="#">IWK Interventional Study - Ethics Application Form (EAF)</a>	Interventional Study (or Clinical Trial): A clinical study in which participants are assigned to receive one or more interventions (or no intervention) so that researchers can evaluate the effects of the interventions on biomedical or health-related outcomes. The assignments are determined by the study protocol. Participants may receive diagnostic, therapeutic, or other types of interventions. — clinicaltrials.gov If your study meets the requirements of an interventional study as per the definition above, complete this form. If your study does not meet the definition of an interventional study, complete the Ethics Application Form for Non-Interventional Studies.	Open
<a href="#">IWK Non-Interventional Study - Ethics Application Form (EAF)</a>	Complete this form if your study is NOT a clinical trial. If your study is a clinical trial, complete the clinical trials EAF form.	Open

## NSHA - Certifications (Human Ethics)

Application Name	Description	Status
<a href="#">NSHA INTERVENTIONAL STUDY - Ethics Application Form (EAF)</a>	Interventional Study (or Clinical Trial): A clinical study in which participants are assigned to receive one or more interventions (or no intervention) so that researchers can evaluate the effects of the interventions on biomedical or health-related outcomes. The assignments are determined by the study protocol. Participants may receive diagnostic, therapeutic, or other types of interventions. — clinicaltrials.gov If your study meets the requirements of an interventional study as per the definition above, complete this form. If your study does not meet the definition of an interventional study, complete the Ethics Application Form for Non-Interventional Studies.	Open
<a href="#">NSHA NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)</a>	Complete this form if your study is NOT a clinical trial. If your study is a clinical trial, complete the clinical trials EAF form.	Open

## Project Info Tab

**Application Ref No:** 3549    **Project Title:** Test  
**Project Work Flow State:** Pre-Submission

**Application Form: NSHA NON-INTERVENTIONAL STUDY - Ethics**  
**Application Form (EAF)**

Save Close Print Export to Word Export to PDF Submit

Project Info	Project Team Info	* NSHA NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)	Attachments	Approvals	Logs	Errors
<p><b>Title *:</b> <input type="text" value="Test"/></p> <p><b>Start Date:</b> <input type="text" value="2014/07/11"/> </p> <p><b>End Date:</b> <input type="text" value="2015/10/26"/> </p> <p><b>Keywords:</b> <input type="text"/> <span>Add</span></p> <p><input type="text"/> <span>Clear all</span></p>						
<p><b>Enter your study title exactly as it appears on your research protocol.</b></p> <p><b>Note:</b> The start &amp; end dates are used for administrative and reporting purposes and will be entered by the REB office staff.</p>						
<p><b>IMPORTANT:</b> Please note that all fields preceded by * are required. Failing to complete these fields will prevent the user from submitting the form.</p>						

# Project Team Info Tab

Project Info	Project Team Info	* NSHA NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)	Attachments	Approvals	Logs	Errors
--------------	-------------------	---	-------------	-----------	------	--------

## Principal Investigator

Instructions : Do not hand type data for this section. The Principal Investigator (PI) section default populates with the researcher profile data for the project team member who creates the file. If you are not the PI, click the Change PI button to search for and select an alternate researcher profile. If you load an alternate researcher profile to the PI section, be sure to reload your researcher profile to the Other Project Team Info section below.

Change PI

Refresh

Prefix:

Ms.

Last Name\*:

Gillam

First Name\*:

Nadine

Affiliation\*:

Administration (NSHA)

Project Team Info tab is automatically filled out with the logged in user's information

Rank:

Institution:

QEI Health Sciences Cent

Phone1:

902-473-2126

Phone2:

Email\*:

nadine.gillam@nshealth.ca

Fax:

902-473-5620

Primary Address:

Rm. 118, CCR 5790 University Ave  
Halifax, NS B3H 1V7

Alternate Address:

Preferred Address: ☒ Primary Address ☐ Alternate Address

Country:

Canada

# PI Role Versus Project Team Member Role

- ▶ At this point, the applicant (person completing application on behalf of the PI) will automatically be designated as PI on the application.
- ▶ The role of the applicant from PI to Project Team Member will need to be modified to ensure the application will follow the proper process. This can be done at any point during the completion of the form.
- ▶ As long as the applicant remains PI the application will continue to be accessible from the Researcher's home page under "Role: Principal Investigator".

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File No

[APPLY NEW](#)
[News](#)
[Useful Links](#)

Role: Principal Investigator

Applications: Drafts

(2)

Applications: Requiring Attention

(0)

Applications: Under Review

(0)

Applications: Post-Review

(1)

Applications: Withdrawn

(0)

Events: Drafts

(1)

Events: Requiring Attention

(0)

Reminders

(0)

Role: Project Team Member

Applications: Drafts

(6)

Applications: Requiring Attention

(0)

Applications: Under Review

(0)

Applications: Post-Review

(0)

Applications: Withdrawn

(0)

Events: Drafts

(0)

Events: Requiring Attention

(0)

Reminders

(0)

# Project Team Info Tab

- Project Team Info tab is automatically filled out with applicant's info. To change the role of PI over to another researcher, click on "Change PI". **Important: DO NOT change PI's "Last Name" and "First Name" manually – always use "Change PI" feature.**

Project Info

Project Team Info

\* NSHA NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)

Attachments

Approvals

Logs

Errors

### Principal Investigator

Instructions : Do not hand type data for this section. The Principal Investigator (PI) section default populates with the researcher profile data for the project team member who creates the file. If you are not the PI, click the Change PI button to search for and select an alternate researcher profile. If you load an alternate researcher profile to the PI section, be sure to reload your researcher profile to the Other Project Team Info section below.

Change PI

Refresh

Prefix:

Ms.

Last Name\*:

Gillam

First Name\*:

Nadine

Affiliation\*:

Administration (NSHA)

Rank:

Institution:

QEII Health Sciences Cent

Phone1:

902-473-2126

Phone2:

Email\*:

nadine.gillam@nshealth.ca

Fax:

902-473-5620

Primary Address:

Rm. 118, CCR 5790 University Ave  
Halifax, NS B3H 1V7

Alternate Address:

Preferred Address:

☒ Primary Address ☐ Alternate Address

Country:

Canada



# Transferring PI Role to Another Researcher

Once you click “Change PI”, you can search the Investigator List for the name of the person to be assigned as PI. The list can be searched in a variety of ways, i.e. type the last name of the person in the “Last Name” field, or use the filter to select search criteria such as “Start With” or “Any part.”

Investigator List

Close

Instructions : Search for and select the researcher profile you want to load to this application file. If the project team member does not have a researcher profile, contact your system administrator for guidance.

☐ Start With ☒ Any part

Last Name:

First Name:

Search

Reset

Options	Last Name	First Name	Primary Affiliation
	<input type="text"/> ▼	<input type="text"/> ▼	<input type="text"/> ▼

# Transferring PI Role to Another Researcher

- ▶ Once you've identified your PI – click on “select”.

Investigator List

Close

Instructions : Search for and select the researcher profile you want to load to this application file. If the project team member does not have a researcher profile, contact your system administrator for guidance.

☐ Start With ☒ Any part

Last Name:

First Name:

Search Reset

Options	Last Name	First Name	Primary Affiliation
Select	MacKnight	Chris	

Close

If you are unable to identify the person you are looking for from the investigators list, please have that person register with the database by clicking on the register button on the login page. Once they have registered you will then be able to add them to the project team immediately.

Project Team Info will automatically be updated with PI's information.


# Transferring PI Role to Another Researcher (cont'd)

At this point, you will also notice that the “Submit” button, previously located at the top of the form, has disappeared.

**Important: the next step is to add yourself to the application as a team member. This must**

**Application Ref No:** 3549 **Project Title:** Test  
**Project Work Flow State:** Pre-Submission

**Application Form:** NSHA NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)



## Principal Investigator

Instructions : Do not hand type data for this section. The Principal Investigator (PI) section default populates with the researcher profile data for the project team member who creates the file. If you are not the PI, click the Change PI button to search for and select an alternate researcher profile. If you load an alternate researcher profile to the PI section, be sure to reload your researcher profile to the Other Project Team Info section below.

<input type="button" value="Change PI"/> <input type="button" value="Refresh"/>			
Prefix:	<input type="text" value="Ms."/>	Last Name*:	<input type="text" value="Gillam"/>
First Name*:	<input type="text" value="Nadine"/>		
Affiliation*:	<input type="text" value="Administration (NSHA)"/>		
Rank:	<input type="text"/>		
Institution:	<input type="text" value="QEH Health Sciences Cent"/>		
Phone1:	<input type="text" value="902-473-2126"/>	Phone2:	<input type="text"/>

# Adding Team Members

- ▶ From the Project Team Info tab, scroll down to “Other Project Member Info” and click “Add New”
- ▶ Repeat the search process, this time assigning yourself to the team and selecting your role in the study from the drop down menu under “Role In Project” data field.
- ▶ You may add as many team members as required by clicking “Add New.”

**Other Project Member Info:**  
Instructions : Do not hand type data for this section. To add more project team members to this application file, click the Add New button to search for and select from other researcher profiles.

**Add New**

	Last Name	First Name	Role In Project
No records to display.			

**Do not hand type data for this section. Always use “Search Profiles.”** If the person you are looking for is not in the list, please have that person register with the database .

# PI Role versus Project Team Member Role

- ▶ Once the change has been made on the “Project Team Info” tab, the application will be accessible to the Research Coordinator and to the other team members from the Researcher’s Home Page under “Role: Project Team Member”.
- ▶ **\*\*Important: The PI is the only person who can submit the application once it has been completed by the Research Coordinator.**



BACK TO HOME   Search		File No		
Role: Principal Investigator				
Applications: Drafts	(2)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(1)			
Applications: Withdrawn	(0)			
Events: Drafts	(1)			
Events: Requiring Attention	(0)			
Reminders	(0)			
Role: Project Team Member				
Applications: Drafts	(6)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(0)			
Applications: Withdrawn	(0)			
Events: Drafts	(0)			
Events: Requiring Attention	(0)			
Reminders	(0)			

# Ethics Application Form (EAF) (Interventional and Non-Interventional Studies)

The Ethics Form(s) have several sub-tabs all of which contain required questions. If you are unsure how to answer a question, try clicking on additional information may be available as seen in the screenshot below!

Project Info Project Team Info \* NSHA NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF) Attachments Approvals Logs Errors

\* Principal Investigator Attestation/Commitments \* Administrative Information \* Research Summary \* Research Protocol Information \* Compensation / Conflict of Interest

\* Participant Identification and Informed Consent \* Privacy and Confidentiality \* Other Ethical Issues

**2.1) PI's Institutional Affiliation(s)**  
Please list primary affiliation E.g. NSHA/IWK/DAL

**2.2) PI'S NSHA Zone**  
-Select- ▼

**2.3) \* Is this research interdisciplinary (eg. Research is considered interdisciplinary if it is involving investigators/sub-investigators from two or more departments, divisions, programs or services)?**  
☐ Yes  
☐ No

**2.4) \* Is this research:**  
☐ Investigator Driven (Sponsored)  
☐ Industry Driven (Sponsored)

**2.5) \* If Investigator driven, is it led:**  
☐ Locally  
☐ Externally  
☐ N/A (Industry Driven)

Tip! ROMEO does not have an automatic save feature. Users are encouraged to hit the "Save" button after completing each tab.

# Attachments Tab

You should attach any relevant document(s) as listed on the “Attachments Tab.” Users may upload multiple attachments, provided that each is no larger than 5MB. Attachments may be word files, spreadsheets, jpeg files, pdfs, etc.

The screenshot shows a web-based 'Add Attachment' dialog box. It contains the following fields and controls:

- Description:** A large text area for describing the document.
- Upload Attachment:** A file input field with a 'Browse...' button.
- Version Date:** A date input field with a calendar icon.
- Doc / Agreement:** A dropdown menu currently showing '--Select One--'.
- Buttons:** 'Add Attachment' and 'Cancel' buttons at the bottom.

Four red-bordered callout boxes provide instructions:

- Description:** Include a brief description of the document (e.g. Mood Questionnaire, Optional Sub-Study ICF, etc.) If your document has a version number, please list it in this box.
- Upload Attachment:** Click "browse" to select the document from your computer
- Version Date:** Enter the date as it appears on your document. If your document has no date, leave this blank.
- Doc / Agreement:** Doc / Agreement - see next slide

# Attachments Tab (cont'd)

The screenshot shows a software window titled "Add Attachment" with a close button (X) in the top right corner. The window contains several labeled fields and a central document type selection area.

- Description:** A large text area for entering a description.
- Upload Attachment:** A label next to a "Browse..." button.
- Version Date:** A label next to an empty text field.
- Doc / Agreement:** A label next to a dropdown menu currently showing "--Select One--".

The central area features a scrollable list of document types. The option "Current license to practice in NS" is highlighted in yellow. To the right of this list is a large empty rectangular box.

At the bottom of the window are two buttons: "Add Attachment" and "Cancel".

Two red rectangular callout boxes provide instructions:

- One box points to the document type list and contains the text: "Select the type of document from the drop down menu".
- Another box points to the "Add Attachment" button and contains the text: "Click 'add attachment' to complete the process".



# Errors Tab

* Project Info	Project Team Info	* NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)	Attachments	Approvals	Logs	Errors
----------------	-------------------	--	-------------	-----------	------	--------

**Project Info** ->Project Title is required.

**NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)** -> Administrative Information :2.1 Is this a locally-initiated investigator-driven research study (e.g. the PI is the stud required.

**NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)** -> Administrative Information :2.3 Is the PI a trainee (e.g. student, resident, fellow)? A supervising investigator is re PI is a trainee and/or does not have a DHA/IWK affiliation. is required.

**NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)** -> Administrative Information :2.4 Has funding been obtained for this study? is required.

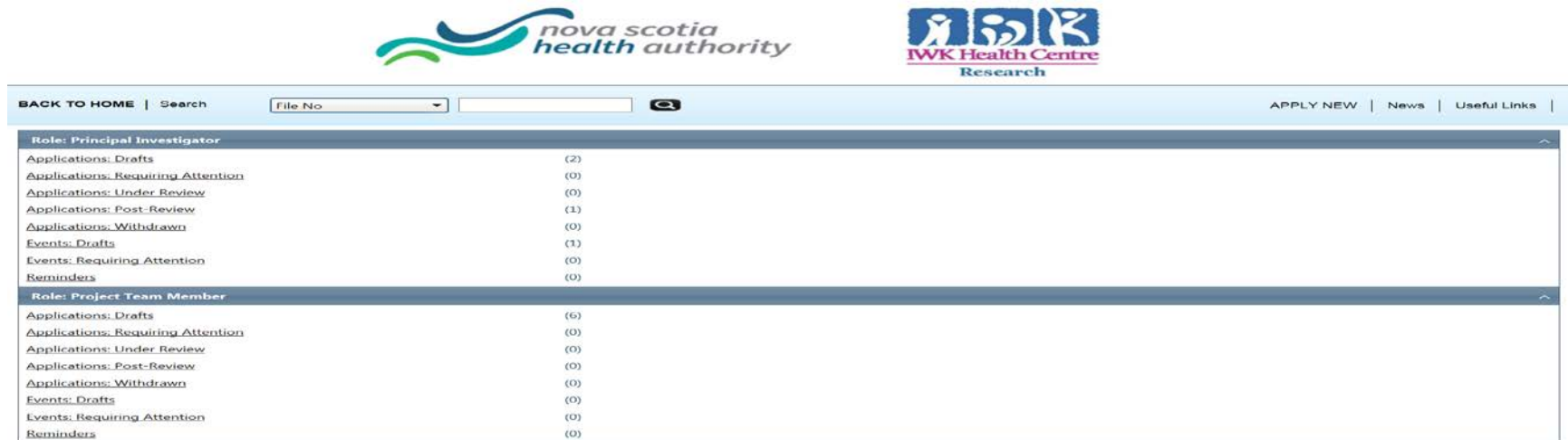
**NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)** -> Administrative Information :2.7 What does this study involve? (select all that apply) is required.



**NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)** -> Administrative Information :2.8 Has this study been reviewed by a committee, department, or division of a partici institution? is required.

**NON-INTERVENTIONAL STUDY - Ethics Application Form (EAF)** -> Administrative Information :2.9 Has this study been reviewed externally (e.g. by funding agencies or other acader institutions/organizations)? is required.

# Save and Continue...

At any point in the process, you may “Save and Close” the application and complete it at a later date. The information entered will be saved and can be accessed again through the Researcher’s home page under “Applications: Drafts”. **Important: Do not close that application by clicking the X at the top of your browser, doing so will result in the application being “locked” preventing other team members from accessing it.**

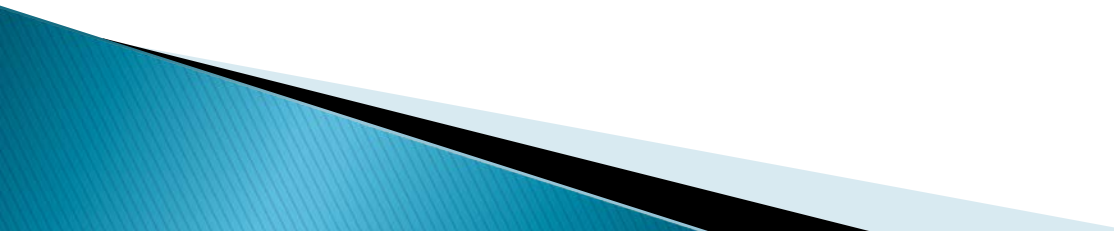


			
BACK TO HOME   Search		File No	APPLY NEW   News   Useful Links
<b>Role: Principal Investigator</b>			
Applications: Drafts	(2)		
Applications: Requiring Attention	(0)		
Applications: Under Review	(0)		
Applications: Post-Review	(1)		
Applications: Withdrawn	(0)		
Events: Drafts	(1)		
Events: Requiring Attention	(0)		
Reminders	(0)		
<b>Role: Project Team Member</b>			
Applications: Drafts	(6)		
Applications: Requiring Attention	(0)		
Applications: Under Review	(0)		
Applications: Post-Review	(0)		
Applications: Withdrawn	(0)		
Events: Drafts	(0)		
Events: Requiring Attention	(0)		
Reminders	(0)		

**ROMEO has no automatic save feature! But it does have a time out feature!** If you need to step away from your computer, always hit “Save and Close” as a precautionary measure. Failing to do so could result in information being lost or the application being “locked”. The user responsible for “locking” the application is able to “unlock” it by accessing it again and exiting properly. All other team members, who find themselves “locked out” of the application, can either contact the user who “locked” it or the system administrator for support

([notifications@researchservicesoffice.com](mailto:notifications@researchservicesoffice.com))

# Submitting the Application

- ▶ From the moment you assign another PI and team members to the project, they will be able to view and edit the application.
  - ▶ The PI is the only person who can submit the application, no other team member can do this on their behalf.
  - ▶ The team member responsible for completing the application should notify the PI when the application is ready to be reviewed and submitted.
  - ▶ Once the application has been submitted, the PI will receive an email confirming the receipt of the application – any team member associated with the application will be copied on the correspondence.
- 

# Applications Under Review








Once the PI has submitted the application for review, you will receive an email confirming the receipt of the application – any team member associated with the application will be copied on the correspondence. At this stage, you will not be allowed to make any changes to the application. However, it is still available for viewing under “Applications – Under Review”.



BACK TO HOME   Search		File No		
Role: Principal Investigator				
Applications: Drafts	(2)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(1)			
Applications: Withdrawn	(0)			
Events: Drafts	(1)			
Events: Requiring Attention	(0)			
Reminders	(0)			
Role: Project Team Member				
Applications: Drafts	(6)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(0)			
Applications: Withdrawn	(0)			
Events: Drafts	(0)			
Events: Requiring Attention	(0)			
Reminders	(0)			

# Work Flow State of Applications Under Review

Check the status of your application(s) under review under the “Work Flow State” column.

	File No	Project Title	Principal Investigator	Application Type	Status Snapshot	Workflow Message
	<input type="text"/> 	<input type="text"/> 	<input type="text"/> 	All 	<input type="text"/> 	
 	100239	Test File	Romeo Testing (District 9: Capital District Health Authority)	CLINICAL TRIAL - Ethics Approval Submission (EAS) Form (Certification)\Human Ethics	<b>Project Status:</b> Active <b>Workflow Status:</b> ORS Review	Test application being submitted for review [Acti... [See more, inside under Logs section]

# Applications Requiring Revisions

If the Board requires any revisions, the application will be pushed back to the researcher (applicant/PI). At this stage, you will be able to edit the application by clicking on this link: “Applications – Requiring Attention”. Remember that if you are making the revisions on behalf of the PI, you will need to let them know when the revisions are completed so that they may re-submit the application.



BACK TO HOME   Search		File No		
Role: Principal Investigator				
Applications: Drafts	(2)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(1)			
Applications: Withdrawn	(0)			
Events: Drafts	(1)			
Events: Requiring Attention	(0)			
Reminders	(0)			
Role: Project Team Member				
Applications: Drafts	(6)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(0)			
Applications: Withdrawn	(0)			
Events: Drafts	(0)			
Events: Requiring Attention	(0)			
Reminders	(0)			

# Approved Applications

Once the application has been approved, the PI, Research Coordinator and Supervising Investigator (where applicable) will receive an automatic email the study has been approved. A formal approval letter in the form of email will also be sent. The application can no longer be modified but is available for viewing under “Applications –Post Review”



BACK TO HOME   Search		File No		
Role: Principal Investigator				
Applications: Drafts	(2)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(1)			
Applications: Withdrawn	(0)			
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Events: Requiring Attention	(0)			
Reminders	(0)			
Role: Project Team Member				
Applications: Drafts	(6)			
Applications: Requiring Attention	(0)			
Applications: Under Review	(0)			
Applications: Post-Review	(0)			
Applications: Withdrawn	(0)			
Events: Drafts	(0)			
Events: Requiring Attention	(0)			
Reminders	(0)			

# Logs Tab – Workflow Logs

- ▶ The Logs tab is a useful tool that shows the history of the application. Text in blue font represents most recent updates
- ▶ The “Application Workflow Logs” tracks and time stamps approvals and messages

[Close](#) [Print](#) [Export to Word](#) [Export to PDF](#)

View mode. Changes cannot be saved.

Project Info	Project Team Info	NSHA INTERVENTIONAL STUDY - Ethics Application Form (EAF)	Attachments	Approvals	Logs
<input checked="" type="radio"/> Application Workflow Log <input type="radio"/> Application Log					
Timestamp ▾	Activity Log	Workflow State	Workflow Message	User	Role/Group
27/05/2015 11:50	Project Status has been changed from <b>Pending</b> to <b>Active</b> Project Work Flow State has been changed from <b>ORS Review</b> to <b>Approval Decision Made</b> <b>Pamela Trenholm</b> has been Added. (role is Research Coordinator)	<b>ORS Review -&gt; Approval Decision Made</b>		ngillam	Office of Research Services/Office of Research Ethics
10/04/2015 08:52	New File Submitted By Researcher Project Work Flow State has been changed from <b>Pre Submission</b> to <b>ORS Review</b>	<b>Pre-Submission -&gt; ORS Review</b>	Study Requesting REB Approval. [Action: Submit]	Nadine Gillam	Principal Investigator



# Logs Tab – Project Logs

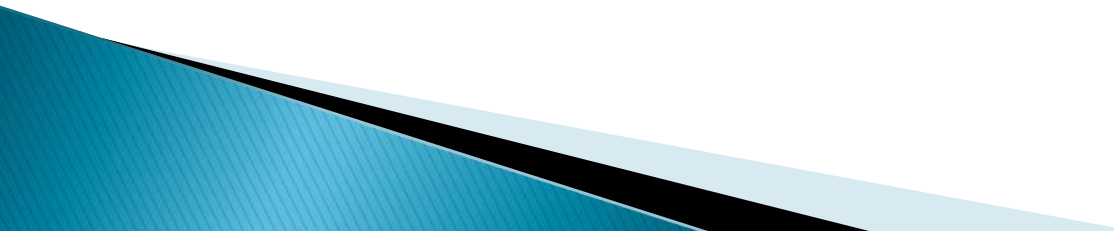
- ▶ The “Application Log” tracks and time stamps every action taken on the application.

[Close](#) [Print](#) [Export to Word](#) [Export to PDF](#)

View mode. Changes cannot be saved.

Project Info	Project Team Info	NSHA INTERVENTIONAL STUDY - Ethics Application Form (EAF)	Attachments	Approvals	Logs
<input type="radio"/> Application Workflow Log <input checked="" type="radio"/> Application Log					
Timestamp ▼	Log Activity	User			
2017/02/08 10:16	Project Status has been changed from <b>Active</b> to <b>Withdrawn</b>	ngillam			
2017/02/08 10:13	Project Status has been changed from <b>Withdrawn</b> to <b>Active</b>	ngillam			
2016/02/26 12:32	Renewal Event Submitted By Researcher	su			
2015/10/19 11:07	Project Start date has been changed from '0001/01/01' to '2015/05/25'	ngillam			
2015/09/30 14:26	Project Status has been changed from <b>Active</b> to <b>Withdrawn</b>	ngillam			

# Event Forms

- ▶ Event forms are: Amendments, Requests for Annual Approvals, Request for Acknowledgment, Serious Adverse Event (SAE) Reporting, Safety Updates and Study Completion.
  - ▶ “Event Forms” allow researchers to make amendments to their approved ethics application(s).
  - ▶ Event Forms can be accessed, completed and submitted by any member of the project team (i.e. the PI, Sub-investigator(s), Research Coordinator, etc.) as opposed to the original ethics application which can only be submitted and re-submitted by the PI.
- 

# Accessing Event Forms

- ▶ You can access Event Forms at any time either under Role: Project Team Member by clicking on “Applications: Post Review”.
- ▶ Applications will also be available within 30 days of the ethics renewal due date by clicking on “Reminders”.



BACK TO HOME | Search

APPLY NEW | News | Useful Links

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**Role: Principal Investigator**

<a href="#">Applications: Drafts</a>	(2)
<a href="#">Applications: Requiring Attention</a>	(0)
<a href="#">Applications: Under Review</a>	(0)
<a href="#">Applications: Post-Review</a>	(1)
<a href="#">Applications: Withdrawn</a>	(0)
<a href="#">Events: Drafts</a>	(1)
<a href="#">Events: Requiring Attention</a>	(0)
<a href="#">Reminders</a>	(0)

**Role: Project Team Member**

<a href="#">Applications: Drafts</a>	(6)
<a href="#">Applications: Requiring Attention</a>	(0)
<a href="#">Applications: Under Review</a>	(0)
<a href="#">Applications: Post-Review</a>	(0)
<a href="#">Applications: Withdrawn</a>	(0)
<a href="#">Events: Drafts</a>	(0)
<a href="#">Events: Requiring Attention</a>	(0)
<a href="#">Reminders</a>	(0)

Available at any time

Application available 30 days before the annual approval (renewal) form is due

# Accessing Event Forms – Applications – Post Review

- ▶ By clicking on “Applications – Post Review”, you may view all of your approved ethics applications. From there, if you wish to submit an amendment form, a serious adverse event (SAE) or an annual approval (renewal) request, you can access the event forms by clicking on “Events”.

[My Files](#) | [APPLY NEW](#) | [News](#) | [Useful Links](#) | [Settings](#)

	File No	Project Title	Principal Investigator	Application Type	Status Snapshot	Workflow Message
	<input type="text"/>	<input type="text"/>	<input type="text"/>	All <input type="text"/>	<input type="text"/>	
<div><a href="#">View</a> <a href="#">Edit</a> <a href="#">Events</a></div>	Ref No :1184		Romeo Testing (District 9: Capital District Health Authority)	CLINICAL TRIAL - Ethics Approval Submission (EAS) Form (Certification)\Human Ethics)	<b>Project Status:</b> Pending <b>Workflow Status:</b> Pre Submission <b>Last Saved:</b> 2014/07/14	

# Accessing Event Forms

Event Form Name	Description
<a href="#"><u>IWK Acknowledgement Request</u></a>	Letters/notifications from the study team, sponsor, etc. that require an acknowledgement that the REB has received specific information. Examples would include: studies on placed hold, or reactivated; studies closed to accrual/enrollment, status updates, etc.
<a href="#"><u>IWK Amendment Request</u></a>	This includes amendments to research protocols, consent forms, supporting materials and product information
<a href="#"><u>IWK Annual Renewal Request</u></a>	REB approval will expire on the last day of the specified approval period, normally effective for 1 year. To ensure continuing approval, an Annual Approval Request is required 4-6 weeks prior to the expiry date. If approval expires all study activities must cease immediately, and the REB may close your file.
<a href="#"><u>IWK Major Study Violation</u></a>	Major study violations are deviations from regulatory requirements or REB-approved documents, policies, and/or processes that impact data integrity, participant safety, privacy/confidentiality or willingness to continue in the study. • Examples include: obtaining informed consent with an outdated or unapproved version of the consent; beginning study procedures before consent was obtained; enrolling participants who didn't meet eligibility criteria; omitting key protocol-required tests or procedures; medication errors, including prescribing a contraindicated medication; using the wrong survey instrument; or using or releasing personal information without the participant's consent. • CLINICAL TRIALS: Deviations that DO NOT meet the criteria of a Major Violation are to be submitted to the REB using the Minor Deviation Reporting Form as part of the Annual Renewal process. • Major study violations must be reported to the REB upon discovery.
<a href="#"><u>IWK SAE/SUSAR - for local SAE/SUSAR Reporting</u></a>	Adverse event: Any untoward medical occurrence experienced by a research participant. SAE: Serious Adverse Event. SUSAR: Suspected Unexpected Serious Adverse Reaction. An adverse event that is 'serious' and 'unexpected' and related or possibly related to participation in the research. Adverse events that do not meet all three of these criteria should not be reported to the REB.
<a href="#"><u>IWK Safety Related Event Reporting (External SAEs, Minor Protocol Deviations, PSUR, DSMB, Safety Alerts)</u></a>	External SAEs, Minor Protocol Deviation, PSUR: Periodic Safety Update Reports, DSMB: Data & Safety Monitoring Board updates, sponsor issued Safety Alerts or other sponsor provided safety information.
<a href="#"><u>IWK Study Closure</u></a>	If there are any unreported minor study deviations, please attach a completed report (see template)
<a href="#"><u>IWK Study Personnel Change Notification</u></a>	Use this form to notify the REB of changes to your project team for this study.
<a href="#"><u>NSHA Acknowledgement Request</u></a>	Letters/notifications from the study team, sponsor, etc. that require an acknowledgement that the REB has received specific information. Examples would include: studies on hold, off hold; studies closed to accrual/enrollment, etc.
<a href="#"><u>NSHA Amendment Request</u></a>	This includes research protocols, ethics application forms, consent forms/addendums, research team contact pages, supporting materials, and product information.
<a href="#"><u>NSHA Annual Renewal Request</u></a>	REB approval for this study will expire on the last day of the specified approval period. To ensure continuing review, submit an Annual Approval Request 2-4 weeks prior to this date. If approval is not renewed on time, the Board will close your file and you must cease all study activities immediately.
<a href="#"><u>NSHA Local Serious Unexpected Adverse Reaction Reporting Form</u></a>	Adverse event: Any untoward medical occurrence experienced by a research participant. Serious unexpected adverse reaction (SUAR): An adverse event that is 'serious' and 'unexpected' and related or possibly related to participation in the research. Adverse events that do not meet all three of these criteria are not SUARs and should not be reported to the REB.
<a href="#"><u>NSHA Major Study Violation</u></a>	Major study violations are deviations from applicable regulatory requirements or REB approved documents, policies and/or processes that impact data integrity or participant safety, privacy/confidentiality, or willingness to continue in the study.
<a href="#"><u>NSHA Notification of Change in Study Personnel</u></a>	Use this form to notify the REB of changes to your project team for this study.
<a href="#"><u>NSHA Safety related events reporting (PSUR, DSMB, Safety Alerts)</u></a>	(Periodic Safety Update Reporting (PSUR), Data & Safety Monitoring Board (DSMB) updates, sponsor issued safety alerts and/or sponsor provided safety information.
<a href="#"><u>NSHA Study Closure</u></a>	



Select the form you need to submit by clicking on the hyperlinks under “Event Form Name”

# Accessing Event Forms – Reminders

- From the “Reminders” quick link you will see the due date of the “Milestones” –dates in yellow font are coming due, while dates in red font are past due. Click on “Events” to access the forms.

APPLY NEW | News | Useful Links | Settings

## My Reminders

	File No	Title	Status	Application Form Name	Milestones
	<input type="text"/> ▼	<input type="text"/> ▼	<input type="text"/> ▼	<input type="text"/> ▼	
	6004436	Test-January 2, 2013	Active	(Certification)\Human Ethics	<b>2011/11/27 -</b> Renewal Due Send reminder 30 days before renewal dat...
	6005486	Test-January 17, 2013	Active	Application Form for Ethics Clearance - new (Certification)\Human Ethics	<b>2013/02/08 -</b> Renewal Due Testing

# Event Info Tab

Save

Close

Print

Export to Word

Export to PDF

Submit

Event Info

NSHA Annual Renewal Request

Attachments

Logs

**Note(s)**

Researchers and Research Coordinators are invited to add comments if they wish. For example, if a study is being reports as closed to recruitment, insert a note.

# Completing the Event Form

- ▶ Complete the form by answering all the questions of the Annual Renewal Form for All Studies (Interventional and Non-Interventional Studies). Once all of these questions have been answered, click on either the Interventional or Non-Interventional Studies sub-tab (as it applies to your study) and answer the remaining questions. Complete the Minor Study Deviation sub-tab as well if applicable.

[Save](#) [Close](#) [Print](#) [Export to Word](#) [Export to PDF](#) [Submit](#)

[Event Info](#) [NSHA Annual Renewal Request](#) [Attachments](#) [Logs](#)

[All Studies \(Interventional & Non-Interventional studies\)](#) [Interventional Study](#) [Non-Interventional Study](#) [Minor Study Deviation](#)

**Complete this form for ALL studies (Interventional & Non-Interventional Studies) IN ADDITION to either the Interventional OR the Non-Interventional request for annual renewal.**

**i** 1.1 What is the status of the study (tick all that apply)?

☐ Enrolling participants

☐ Enrollment complete

☐ Study procedures ongoing

☐ Study procedures complete

☐ Data analysis phase

☐ Study has not yet started

**i** 1.2 How many participants signed an informed consent form in the past year?

Tip! Remember that ROMEO does not have an automatic save feature. Users are encouraged to hit the “Save” button after completing each tab.



# Attachments Tab

- ▶ Researchers are able to attach document(s) to the event form through the Attachments tab. Users may upload multiple attachments, provided that each is no larger than 5MB. Attachments may be Word documents, Excel spreadsheets, jpeg files, pdfs, etc.

The image shows a screenshot of a web application window titled "Upload Attachment". The window contains a "Description:" label followed by a large text input area. Below this is an "Upload Attachment:" label, a file input field, and a "Browse..." button. At the bottom are "Add Attachment" and "Cancel" buttons. Three red boxes with arrows provide instructions: one for the description field, one for the "Add Attachment" button, and one for the "Browse..." button.

**Upload Attachment**

**Description:**

Include a brief description of the document and the date you are submitting the event form (i.e. current date).

**Upload Attachment:**

**Browse...**

Click on "Browse" to select the document from your computer

**Add Attachment** **Cancel**

Click "Add Attachment" to complete the process

# Errors Tab

Request for Amendment

Save Close Print Export to Word Export to PDF Submit

Event Info \* Request for Amendment Attachments Errors

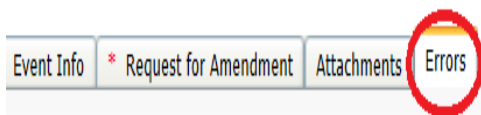
**Request for Amendment** -> Details of proposed amendment(s):1.13 Have you attached a copy of your revised team contact page clearly showing all changes by highlighting or underlining? is required.

The Errors tab keeps a log of any required questions that were left unanswered. If all required questions were answered, the Errors tab disappears.

# Submitting the Event Form

- ▶ Remember that any member of the project team (i.e. PI, Sub-Investigator, Research Coordinator, etc.) is able to submit event forms by simply clicking the “Submit” button at the top of the form.

Request for Amendment



Tip! Please note that incomplete applications will not submit successfully. If the "Errors" tab is still visible – as in this screen shot – then some of the required questions have been left unanswered and you will not be able to submit the application. Please check the "Errors" tab before hitting the submit button!

**Request for Amendment** -> Details of proposed amendment(s):1.13 Have you attached a copy of your revised team contact page clearly showing all changes by highlighting or underlining? is required.

# Tracking the Event Form

- ▶ The PI and other members of the project team will not receive a confirmation email after submitting an event form.
- ▶ However, they can still track whether or not their form was submitted by accessing the application for which you submitted an event form – either through “Applications – Post Review” or “Reminders” – and clicking on “Events”. Event forms that were started and saved, but not submitted will appear under “Events: Drafts”.

**File No: 100283**

Project Title: Test File: 18F- Sodium Fluoride PET Imaging as a Replacement for Bone Scintigraphy

Events: Drafts					
	Event No	Event Category	Event Form	Comments	Latest Update
<a href="#">View Event</a> <a href="#">Edit</a> <a href="#">Delete</a>	100283 - Ref No : 947	Amendment	NSHA Amendment Request	This is a test Amendment Form ...	
<a href="#">View Event</a> <a href="#">Edit</a> <a href="#">Delete</a>	100283 - Ref No : 9037	Amendment	NSHA Amendment Request		Nadine Gillam on 11/23/2016 7:56:37 AM
Events: Requiring Attention					
Events: Under Review					
Events: Post Review					
Reminders					

# Tracking the Event Form (cont'd)

- Once the event form has been submitted, it will move down to “Events: Under Review”. You will be able to view the event but will no longer be able to edit it.

**File No: 100283**

Project Title: Test File: 18F- Sodium Fluoride PET Imaging as a Replacement for Bone Scintigraphy

Events: Drafts					
Events: Requiring Attention					
Events: Under Review					
	Event No	Event Category	Event Submission Date	Event Status	Latest Update
<a href="#">View Event</a>	100283 - 9985498	Amendment (NSHA Amendment Request)	2017/03/07	Submitted by Researcher	Nadine Gillam on 3/7/2017 11:54:46 AM
Events: Post Review					
Reminders					

Note that the “Status” of the application indicates: “Submitted by Researcher”.

# Tracking the Event Form (cont'd)

- ▶ Once the event form has been assigned for review the “Status” of the application will change from “Submitted by Researcher “ to “Pending”.

## File No: 100283

Project Title: Test File: 18F- Sodium Fluoride PET Imaging as a Replacement for Bone Scintigraphy

Events: Drafts						▼
Events: Requiring Attention						▼
Events: Under Review						▲
	Event No	Event Category	Event Submission Date	Event Status	Latest Update	
<a href="#">View Event</a>	100283 - <b>9985498</b>	Amendment (NSHA Amendment Request)	2017/03/07	Pending	ngillam on 3/7/2017 12:03:14 PM	
Events: Post Review						▼
Reminders						▼

# Event Form Approval

- ▶ Once the event form has been reviewed and approved, it will move down to Events: Post Review. The status of the event will change from “Pending” to “Approved.”
- ▶ The PI , Research Coordinator and the Supervising Investigator (if applicable) will receive a confirmation email along with a copy of the formal approval letter. This letter has also been attached to the original application and can be viewed through the “Attachments” tab by any project team member.
- ▶ Please Note: The process for submitting any type of Event Form is the same as what has been described here.

**File No: 100283**

Project Title: Test File: 18F- Sodium Fluoride PET Imaging as a Replacement for Bone Scintigraphy

Events: Drafts					
Events: Requiring Attention					
Events: Under Review					
Events: Post Review					
	Event No	Event Category	Event Submission Date	Event Status	Latest Update
<a href="#">View Event</a>	100283 - 9985498	Amendment (NSHA Amendment Request)	2017/03/07	Approved	ngillam on 3/7/2017 12:08:18 PM
<a href="#">View Event</a>	100283 - 9948203	Renewal (NSHA Annual Renewal Request)	2016/02/26	Approved	
<a href="#">View Event</a>	100283 - 9927563	Renewal (NSHA Annual Renewal Request)	2015/08/24	Approved	
<a href="#">View Event</a>	100283 - 9921675	Amendment (NSHA Amendment Request)	2015/05/28	Approved	
Reminders					

# Event Form – Requiring Attention

- ▶ Here you will find any event forms that have been returned for clarifications by the REB.
- ▶ Click the “Edit” button to access the form to make any corrections that have been indicated in a separate email that would have been sent to you from the REB office.

**File No: 100283**

Project Title: Test File: 18F- Sodium Fluoride PET Imaging as a Replacement for Bone Scintigraphy

Events: Drafts					
Events: Requiring Attention					
	Event No	Event Category	Event Submission Date	Event Status	Latest Update
<a href="#">View Event</a> <a href="#">Edit</a>	100283 - <b>9985498</b>	Amendment (NSHA Amendment Request)	2017/03/07	Submitted by Researcher	ngillam on 3/7/2017 12:22:34 PM
Events: Under Review					
Events: Post Review					
Reminders					



# Event Form – Requiring Attention (Cont'd)

- Once the corrections have been made choose the re-submit button at the top of the screen to send the event back to the REB office for review and approval.

Event: Amendment File No: 100283 - 9985498

PI : Gillam Nadine(Administration (NSHA))

Project Title : Test File: 18F- Sodium Fluoride PET Imaging as a Replacement for Bone Scintigraphy

Event Form: NSHA Amendment Request

[Save](#) [Close](#) [Print](#) [Export to Word](#) [Export to PDF](#) [Re-Submit](#)

[Event Info](#) [NSHA Amendment Request](#) [Attachments](#) [Logs](#)

Details of proposed amendment(s)

**1.1\*** Please list all documents including version # and date that are attached with the this amendment form.

PP support

**1.2** If applicable, summarize the protocol changes.

PP support

# Need assistance/have a question?

- ▶ Contact your system administrator
  - 902-473-2126
  - [nadine.ransome@nshealth.ca](mailto:nadine.ransome@nshealth.ca)

